



## UNITED S DEPARTMENT OF COMMERCE

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. 09/009,083 01/20/98 ANDERSON G Y0997-451 **EXAMINER** TM02/0427 BACKER, F STEPHEN C KAUFMAN **ART UNIT** INTELLECTUAL PROPERTY LAW PAPER NUMBER IBM CORPORATION 2155 P 0 BOX 218 YORKTOWN HEIGHTS NY 10598 DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 



## Notification of Non-Compliance With 37 CFR 1.192(c)

Application No.	Applicant(s)
09/009,083	ANDERSON ET AL.
Examiner	Art Unit
Firmin Backer	2155

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on <u>Feb. 21st, 2001</u> is defective for failure to comply with one or more provisions of 37 CFR 1.192(c). See MPEP § 1206.

To avoid dismissal of the appeal, applicant must file IN TRIPLICATE a complete new brief in compliance with 37 CFR 1.192 (c) within the longest of any of the following three TIME PERIODS: (1)ONE MONTH or THIRTY DAYS from the mailing date of this Notification, whichever is longer; (2) TWO MONTHS from the date of the notice of appeal; or (3) within the period for reply to the action from which this appeal was taken. EXTENTIONS OF THESE TIME PERIODS MAY BE GRANTED UNDER 37 CFR 1.136.

1.		The brief does not contain the items required under 37 CFR 1.192(c), or the items are not under the proper heading or in the proper order.
2.		The brief does not contain a statement of the status of all claims, pending or cancelled, or does not identify the appealed claims (37 CFR 1.192(c)(3)).
3.	$\boxtimes$	At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 1.192(c)(4)).
4.		The brief does not contain a concise explanation of the claimed invention, referring to the specification by pag and line number and to the drawing, if any, by reference characters (37 CFR 1.192(c)(5)).
5.		The brief does not contain a concise statement of the issues presented for review (37 CFR 1.192(c)(6)).
6.		A single ground of rejection has been applied to two or more claims in this application, and
	(a)	the brief omits the statement required by 37 CFR 1.192(c)(7) that one or more claims do not stand or fall together, yet presents arguments in support thereof in the argument section of the brief.
	(b)	the brief includes the statement required by 37 CFR 1.192(c) (7) that one or more claims do not stand or f together, yet does not present arguments in support thereof in the argument section of the brief.
7.		The brief does not present an argument under a separate heading for each issue on appeal (37 CFR 1.192(c)(8)
8.		The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 1.192(c)(9))
9.	$\boxtimes$	Other (including any explanation in support of the above items):
		The amendment filed subsequently to the final action contains new issues such as in claim 14 "quota" that requires further search and/or consideration

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